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# Electrochemical reduction of $SnO_2$ to Sn from the Bottom: In-Situ formation of $SnO_2/Sn$ heterostructure for highly efficient electrochemical reduction of carbon dioxide to formate



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#### ABSTRACT

Design and engineering of low-cost, high-performance catalysts is a critical step in electrochemical  $CO_2$  reduction ( $CO_2R$ ) to value-added chemicals and fuels. Herein,  $SnO_2$  nanoparticles were grown onto carbon cloth ( $SnO_2/CF$ ) by a facile hydrothermal procedure and exhibited excellent electrocatalytic activity towards  $CO_2R$  due to reconstruction into  $SnO_2/Sn$  Mott-Schottky heterojunctions during  $CO_2R$  electrolysis, as manifested in X-ray diffraction, X-ray photoelectron spectroscopy, and operando Raman spectroscopy measurements. The heterostructured  $SnO_2/Sn$  electrode delivered a high faradaic efficiency of 93 ± 1% and a partial current density of 28.7 mA cm<sup>-2</sup> for formate production at -1.0 V vs. reversible hydrogen electrode in an H-type cell (which remained stable for 9 h), and 174.86 mA cm<sup>-2</sup> at -1.18 V on a gas-diffusion electrode in a flow cell. Density functional theory calculations show that the  $SnO_2/Sn$  heterostructures in situ formed under  $CO_2R$  conditions helped decrease the energy barrier to form formate as compared to pristine  $SnO_2$  and Sn, and were responsible for the high activity and selectivity of formate production. Results from this study unravels the evolution dynamics of  $SnO_2$  catalysts under  $CO_2R$  condition and provides a further understanding of the active component of  $SnO_2$  catalyst in  $CO_2R$ .

# 1. Introduction

Electrochemical carbon dioxide  $(CO_2)$  reduction  $(CO_2R)$  to valueadded industrial chemicals and liquid fuels is an effective strategy to alleviate the greenhouse issues and achieve the carbon neutral cycle.[1-3] Among the molecules produced from  $CO_2R$ , formate is considered as an important product, since it can be used as fuels for direct formic acid fuel cell.[4] Yet, as  $CO_2$  is a chemically inert molecule, the conversion from  $CO_2$  to formate typically entails a high energy barrier, and the reaction can be complicated by the competitive hydrogen evolution reaction (HER).[5,6] Within this context, it is critical to develop efficient catalysts for  $CO_2R$  that can lower the overpotential and improve the activity and selectivity towards formate.

 $SnO_2$  is an n-type semiconductor, and has been widely recognized as an excellent electrocatalyst for  $CO_2R$  to formate, where the catalytic activity is strongly dependent on the electronic and geometric properties of the catalysts.[7-10] For example, Li et al. prepared self-assembled microporous  $SnO_2$  nanosheets and observed a Faraday efficiency (FE) of 83% for formate production at a low overpotential of -710 mV. In another study, Spurgeon et al. synthesized porous  $SnO_2$  nanowires with rich grain boundaries, which achieved an FE of 78% for formate production at -0.8 V vs RHE.

However, it has been noticed that  $SnO_2$  cannot survive under the electrochemical condition of  $CO_2R$ . Kanan et al.[11] speculated that  $SnO_2$  might be partially reduced to Sn and form a metal/semiconductor heterojunction during the  $CO_2R$ . The electronic interaction and charge transfer at the  $SnO_2/Sn$  interface, the so-called Mott–Schottky effect, is responsible for the high performance of  $CO_2R[12]$  In addition, since  $SnO_2$  is prone to be reduced into Sn under the  $CO_2R$  condition, it has also been argued that either  $SnO_2$  or Sn is the actual active component for  $CO_2R$ . Thus, to unravel the  $CO_2R$  mechanism on  $SnO_2$ , it is necessary to understand the structural dynamics of  $SnO_2$  during the electrochemical operation.

Herein, SnO<sub>2</sub> nanoparticles were grown onto carbon cloth by a facile hydrothermal procedure. During CO<sub>2</sub>R electrocatalysis,

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SnO<sub>2</sub> was partially reduced to Sn from the bottom of the resulting SnO<sub>2</sub>/CF composite, forming SnO<sub>2</sub>/Sn heterostructure, as evidenced in by X-ray diffraction (XRD), in situ Raman spectroscopy and X-ray photon electron spectroscopy (XPS) measurements. Density functional theory (DFT) calculations show that the SnO<sub>2</sub>/Sn heterostructure enhanced the stability of the \*HCOO intermediate, as compared to pure SnO<sub>2</sub> and Sn, and led to highly selective production of formate.

#### 2. Experimental

#### 2.1. Materials

Tin (II) chloride (SnCl<sub>2</sub>·2H<sub>2</sub>O, 99% purity, Sinopharm Chemical Reagents Co., Ltd., China), urea (CH<sub>4</sub>N<sub>2</sub>O, 97%, Energy Chemicals), Nafion (0.5 wt%, Alfa Aesar). Ethanol (99%, Tianjin Damao Chemical Works)), acetic acid (99.5%, Tianjin Damao Chemical Works), formic acid (88%, Tianjin Damao Chemical Works), and carbon black (Vulcan XC-72, Cabot) were used as received without further treatment. Deionized water was obtained from a Barnstead Nanopure water system (18.2 MΩ-cm).

#### 2.2. Preparation of SnO<sub>2</sub>/CF and Sn/CF catalysts

A facile hydrothermal method was employed to synthesize SnO<sub>2</sub> on carbon cloth. In a typical experiment, an aqueous solution of SnCl<sub>2</sub>·2H<sub>2</sub>O (18.5 mmol L<sup>-1</sup>) was added to an ethanol and water mixture (v:v = 1:1) to form a white turbid suspension, into which was then added 0.48 g of urea, leading to a change of the solution color from white to yellow. The solution was magnetically stirred for 10 min and transferred into a Teflon–lined autoclave hydrothermal reactor, which contained a piece of carbon cloth (3 cm × 3 cm), and heated at 120 °C for 10 h. After cooling to room temperature, the precipitate (SnO<sub>2</sub>/CF) was collected by centrifugation, washed with deionized water several times, dried at 50 °C overnight, and directly used for electrochemical reduction of CO<sub>2</sub>. As a control experiment, Sn/CF was prepared by calcining the obtained SnO<sub>2</sub>/CF at 600 °C for 4 h under an Ar/H<sub>2</sub> (with 10% H<sub>2</sub>) atmosphere.

# 2.3. Characterization

The morphology of the catalysts prepared above was characterized by using scanning electron microscopy (SEM, Hitachi S-4800) and high-resolution transmission electron microscopy (HRTEM, JEOL JEM 2100F) measurements. The crystalline structure and surface chemical compositions of the samples were analyzed by using X-ray diffraction (XRD, New D8 – Advance, BRUKER–AXS) at a scan rate of 5° min<sup>-1</sup>, and X-ray photoelectron spectroscopy (XPS, Thermo Fisher Scientific, K – alpha + ), respectively.

## 2.4. Electrochemistry

All electrochemical experiments were conducted with a CHI 660C electrochemical work-station in a three-electrode setup in an H-type cell, with the two compartments separated by a Nafion-117 membrane to prevent the re-oxidation of  $CO_2$  reduction products. The  $SnO_2/CF$  prepared above was used as the working electrode, a Pt wire as the counter electrode, and a Ag/AgCl reference as the reference electrode. The reference electrode was calibrated against a reversible hydrogen electrode (RHE) and all potentials in the present study were referred to this RHE unless otherwise stated. A  $CO_2$ -saturated 0.5 M KHCO<sub>3</sub> solution (pH = 8.3) was used as the supporting electrolyte.

Prior to electrochemical measurements, the electrolyte in the cathodic compartment was saturated with  $CO_2$  by bubbling  $CO_2$  gas for at least 30 min. During the electrochemical reduction experiments, the  $CO_2$  gas was delivered at an average rate of 25 mL min<sup>-1</sup> (at ambient condition) and routed directly into the gas sampling loop of a gas chromatograph (GC 9560) for quantification of the gas products. The GC was installed with a thermal conductivity detector (TCD) to detect H<sub>2</sub> and flame ionization detector (FID) to detect hydrocarbons. A methanizer (Agilent) was equipped in front of the FID for CO detection. High-purity Ar was used as the carrier gas for all compartments of the GC. The liquid products of  $CO_2R$  were identified and quantified by nuclear magnetic resonance (NMR, 400 MHz, Bruker) measurements.

The Faradaic efficiency was calculated by employing the following equation:

$$FE = \frac{Q_i}{Q_{total}} = \frac{nN_iF}{Q_{total}}$$

where  $Q_{rotal}$  is the total charge passed,  $Q_i$  is the charge for a certain CO<sub>2</sub>R product, N<sub>i</sub> is the number of moles of a specific product (measured by NMR and GC), n is the number of electrons exchanged for product formation (n = 2 for CO, H<sub>2</sub> and formate), and F is the Faradaic constant (96485C mol<sup>-1</sup>).

## 2.5. Computational studies

Plane-wave density functional theory (DFT) calculations were performed by using the CASTEP code of the Materials Studio package of Acclerys Inc[13]. The dispersion correction was considered through zero damping DFT-D3 method[14]. In all calculations, the spin polarized generalized gradient approximation (GGA) of the Perdew-Burke-Ernzerhof (PBE) functional[15] and ultrasoft pseudo-potentials were used for the core electrons. The calculations were conducted on  $SnO_2(110)$  or Sn(112) surface with four stoichiometric layers, respectively, by using a  $3 \times 2$  periodic cells with an optimized vacuum space of 20 Å, where the atoms in the two bottom layers were fixed and those in the upper layers were allowed to fully relax in the calculations. The SnO<sub>2</sub>/Sn Mott-Schottky heterojunction was modeled with 4 atomic layers of  $4 \times 4$  Sn (112) and one atomic layer of  $3 \times 2$  SnO<sub>2</sub>(110). The sampling was employed for the Brillouin zone with  $3 \times 3 \times 1$  Monkhorst-Pack[16] meshes in all calculations. The self-consistent field (SCF) tolerance, the maximum displacement and the energy cut-off were set to "fine" with high accuracy of 1  $\times$  10<sup>-6</sup> eV and 400 eV. For each reaction step, the convergence criterion for optimizations was met and the largest remaining force on each atom was less than < 0.02 eV/Å.

Reaction mechanisms for the generation of formic acid (step 1) [17,18] and CO (step2) [19,20] are depicted below,

$$CO_2(g) + e^- + H^+ + * \rightarrow HCOO^*$$
 (1-1)

$$HCOO^* + e^- + H^+ \rightarrow HCOOH^*$$
(1-2)

 $HCOOH^* \rightarrow HCOOH (aq) + *$  (1-3)

$$CO_2(g) + e^- + H^+ + * \rightarrow COOH^*$$
 (2-1)

\*COOH + e- + H+
$$\rightarrow$$
HCOOH\* (2-2)

$$COOH^* + e^- + H^+ \to CO^* + H_2O$$
(2-2)

$$CO^* \rightarrow CO(g) + *$$
 (2-3)

where \* represents the surface adsorption site.

The binding energies of the adsorbates on the  $SnO_2(110)$  surface and  $SnO_2/Sn$  Mott-Schottky heterojunction were calculated by

$$\Delta E_{ads} = E_{ads+sur} - (E_{ads} + E_{sur})$$

where  $E_{ads+sur}$  represents the total energy of the adsorbate interacting with the surface,  $E_{ads}$  is the energy of the adsorbate in the gas phase, and  $E_{sur}$  is the energy of the bare surface.

The Gibbs free energy of  $H^*(\Delta G_H)$  were calculated by

$$\Delta G_{\rm H} = \Delta E_{H*} + \Delta E_{ZPE} - T\Delta S$$

 $\Delta E_{H*} = E_{sur+H*} - (E_{H*} + E_{sur})$ 

where  $E_{sur+H*}$  and  $E_{sur}$  denote the energy of substrates with an adsorbed H atom and the energy of bare substrates. The free energy of electrochemical reduction of H<sub>2</sub> was calculated based on a computational hydrogen electrode (CHE) model,  $E_{H*}$  denotes half of the energy of H<sub>2</sub> [21],  $\Delta$ ZPE is the variation in zero-point energies, ZPE of adsorbed species have been calculated from frequencies obtained within the harmonic oscillator approximation. Vibrational contributions to the entropy of adsorbed species can also be obtained using the calculated vibrational frequencies. And  $\Delta$ S is the change in entropy before and after the reaction. T is temperature and equals to 298 K. Therefore, the free energy of the adsorbed state can be taken as  $\Delta$ G<sub>H</sub> =  $\Delta$ E<sub>H\*</sub>+0.24 eV. [22]. The adsorption energetics of the most stable states of the adsorbate on the modeled catalysts are shown in Table S1.

# 3. Results and discussion

The SnO<sub>2</sub>/CF was synthesized by a one-step hydrothermal process at 120 °C, as illustrated in Scheme 1 (experimental details in the Supporting Information). The crystalline structure of SnO<sub>2</sub>/CF was then characterized by XRD measurements. Three intense diffraction peaks emerged at  $2\theta = 26.61^\circ$ ,  $33.89^\circ$  and  $51.78^\circ$ (Fig. S1a), which can be indexed to the (110), (101) and (211) lattice planes of SnO<sub>2</sub> (PDF#41-1445), respectively, indicating the successful formation of SnO2. XPS measurements (Fig. S1b-c) show a doublet at 487.2 and 495.7 eV, that can be ascribed to the Sn  $3d_{3/2}$ and Sn  $3d_{5/2}$  electrons of Sn<sup>4+</sup>, in good agreement with the formation of SnO<sub>2</sub> in the sample. [23,24] Figure S1c shows the XPS profile of 0 1 s, which can be deconvoluted into two peaks at 531.2 and 532.7 eV, corresponding to Sn<sup>4+</sup>-O, and adsorbed oxygen, respectively.[25] The specific surface area of SnO<sub>2</sub>/CF was determined to be 118.14 m<sup>2</sup> g<sup>-1</sup> by nitrogen adsorption-desorption isotherms (Figure S1d), indicating its large surface area.[25-29]

The morphology of  $SnO_2/CF$  was then examined by scanning electron microscopy (SEM) measurements. As shown in Fig. 1a-c, carbon fibers were coated with a number of  $SnO_2$  nanoparticles of ca. 200 nm in diameter. The coating of  $SnO_2$  on unifocal carbon fiber promotes the electronic conductivity of the cathode and thus favors the  $CO_2R$ . Further structural insights were obtained in highresolution transmission electron microscopy (HRTEM) measurements (Fig. 1d-e), where the nanoparticles exhibited well-defined lattice fringes with an interplanar spacing of 3.35 Å, due to the  $SnO_2(110)$  planes. Indeed, in the selected area electron diffraction (SAED) measurements (Fig. 1f), the diffraction patterns of  $SnO_2(110)$ , (101), (200), (211), and (301) planes can be clearly identified.

It has been reported that SnO<sub>2</sub> can be converted into metallic Sn during the cathodic CO<sub>2</sub>R process, leading to active debates about the catalytic active component.[30,31] Thus, to examine the structural dynamics of SnO<sub>2</sub>/CF, the composite was subject to CO<sub>2</sub>R at -1 V for 1 h and then characterized by XRD and HRTEM measurements. From Figure S2a, one can see that the intensity of the SnO<sub>2</sub> diffraction peaks was dramatically reduced and only very weak peaks remain. Concurrently, sharp diffraction peaks emerged at  $2\theta = 30.64^{\circ}$ ,  $32.02^{\circ}$ ,  $44.90^{\circ}$ , consistent with the Sn (200), (101), (211) planes, respectively, indicating the reduction of SnO<sub>2</sub> to metallic Sn. This was also corroborated by results from TEM measurements (Fig. 1g-h), where lattice fringes of both SnO<sub>2</sub> (with an interplanar distance of 3.35 Å from SnO<sub>2</sub>(110)) and Sn (with an interplanar distance of 2.92 Å from Sn (200)) can be observed, suggesting partial conversion of SnO<sub>2</sub> to Sn. Indeed in SAED measurements, the patterns of both SnO<sub>2</sub> and Sn can be readily identified (Fig. 1i).

The detailed transformation dynamics of SnO<sub>2</sub> to Sn during CO<sub>2</sub>R at varied potentials were further examined by in situ Raman spectroscopy measurements. [5,32,33] From Fig. 2, the pristine SnO<sub>2</sub> can be seen to exhibit three vibrational bands at 113, 211 and  $632 \text{ cm}^{-1}$ , which are attributed to the  $B_{1g}$ ,  $B_{2g}$  and  $A_{1g}$  modes of Sn-O, respectively. [34,35] At the applied potential of -0.2 and -0.4 V in CO<sub>2</sub>-saturated electrolyte for CO<sub>2</sub>R (Fig. 2a-b), these Raman peaks typical of SnO<sub>2</sub> remained well-resolved, with no obvious intensity attenuation at increasing reaction time. When the applied potential was further increased to -0.6 and -0.8 V, the  $B_{1g}$  and  $B_{2g}$  bands at 113 and 211 cm<sup>-1</sup> disappeared after 30 min and the A<sub>1g</sub> band started to attenuate (Fig. 2c-d). However, as shown in Fig. 2e, the  $A_{1g}$ ,  $B_{1g}$  and  $B_{2g}$  Raman peaks all diminished markedly after CO<sub>2</sub>R at a more negative potential of -1.0 V for 10 min and disappeared completely after 20 min, indicating that most of the SnO<sub>2</sub> had been converted to Sn. The evolution dynamics of SnO<sub>2</sub> at -1.0 V are further evidenced in Raman spectra acquired at a 1 min interval. From Fig. 2f, it can be observed that the A<sub>1g</sub> Raman peak of SnO<sub>2</sub> became weakened but remained visible after CO<sub>2</sub>R for 19 min, and then vanished into the baseline at prolonged reaction time.

The results from the in-situ Raman examination indicates the  $SnO_2$  completely vanished after electrolysis of  $CO_2R$ , which contradicts with the results from XRD and TEM measurements (Fig. 1 and S2). This might be accounted for by that bulk  $SnO_2$  might be partially converted into metallic Sn during  $CO_2R$  process, and only a very thin top layer of  $SnO_2$  at the nanometer scale remains after



Scheme 1. Schematic illustration of the synthesis of SnO<sub>2</sub> nanoparticles on carbon cloth.



Fig. 1. (a-c) SEM images, (d,e) TEM images and (f) SAED patterns of as-prepared SnO<sub>2</sub>/CF. (g,h) TEM images and (i) SAED patterns of spent SnO<sub>2</sub>/CF for 1 h for CO<sub>2</sub>R at -1 V.

CO<sub>2</sub>R for 1 h, which cannot be well detected by Raman spectroscopy without surface-enhancing. However, HRTEM can well resolve the minimum amount of SnO2 residue while and XRD can detect the presence of SnO<sub>2</sub> in bulk state. Thus, it is concluded that the in-situ formed SnO<sub>2</sub>/Sn heterostructures were formed. These are likely the intrinsic active component for CO<sub>2</sub>R at high reduction potentials. This hypothesis was supported by results from XPS measurements of the spent  $SnO_2/CF$  samples after  $CO_2R$  at -1.0 V for 1 h. As shown in Fig. S2b, the top surface of the spent  $SnO_2/$ CF is mainly composed of SnO<sub>2</sub>, with a small fraction of Sn. At 10 nm below the surface by ion sputtering (Fig. S2c), the metallic Sn signal was enhanced whereas that of SnO<sub>2</sub> diminished concurrently. When the peeling depth reached 20 nm (Fig. S2d), only metallic Sn was observed, and no SnO<sub>2</sub> could be resolved. These suggest that SnO<sub>2</sub>/Sn heterostructures were indeed in situ formed during CO<sub>2</sub>R (Fig. S3), in good agreement with results from TEM and SAED measurements (Fig. 1g-i).

The electrocatalytic performance of SnO<sub>2</sub>/CF towards CO<sub>2</sub>R was first studied in an H-type electrochemical cell in a CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub> solution by linear sweep voltammetry (LSV). As a control experiment, Sn/CF was also prepared by thermal reduction of SnO<sub>2</sub>/CF (Fig. S3a) and the catalytic activity towards CO<sub>2</sub>R was evaluated and compared (Fig. S4). From Fig. S4, SnO<sub>2</sub>/CF can be seen to show a much higher current density in CO<sub>2</sub>-saturated KHCO<sub>3</sub> solution than that in N<sub>2</sub>-saturated electrolyte, indicating that  $SnO_2/CF$  is active towards  $CO_2R$ . The cathodic peak at -0.3 V vs RHE is likely due to the reduction of SnO<sub>2</sub> to metallic Sn. The FE of the products at each potential are shown in Fig. 3a. SnO<sub>2</sub>/CF exhibits an FE of 44.45% for formate at low potentials and a maximum FE of 93.7% at -1.0 V. By contrast, Sn/CF exhibits a maximum FE of 57.87% for formate at -0.9 V. The partial current densities of the products by step electrolysis at each potential are shown in Fig. 3b and S5. Obviously, with the increase of the applied potential, the partial current density of formate increases sharply on  $SnO_2/CF$ , reaching a maximum of 40.8 mA cm<sup>-2</sup>, about 3.2 times



Fig. 2. In situ Raman spectra of SnO<sub>2</sub> at varied applied potentials (vs RHE): (a) -0.2 V, (b) -0.4 V, (c) -0.6 V, (d) -0.8 V, (e) -1.0 V, and (f) -1.0 V in 10 to 20 min for 1 h for CO<sub>2</sub>R.



Fig. 3. (a) The FE of all products and (b) partial current density of formate on SnO<sub>2</sub>/CF and Sn/CF at applied potentials from -0.7 to -1.2 V; (c) FE and partial current density of formate for CO<sub>2</sub>R on SnO<sub>2</sub>/CF in on a GDE in flow cell with 1 M KOH. (d) Stability test of SnO<sub>2</sub>/CF at -1.1 V vs. RHE in 9 h.



Fig. 4. Calculated free energy diagrams for the formation of formate and CO from CO<sub>2</sub>R on (a) heterostructured SnO<sub>2</sub>/Sn, (b) SnO<sub>2</sub> and (c)Sn, and (d) HER on Sn (112), SnO<sub>2</sub> (110) and SnO<sub>2</sub>/Sn.

that of Sn/CF at -1.2 V, indicating the pure phase of Sn is less active than the heterostructured sample. The catalytic activity and selectivity of SnO<sub>2</sub>/CF outperforms most of the reported Sn-based catalysts (Fig. S6 and Table S2).

The intrinsic activity of SnO<sub>2</sub>/CF was evaluated by normalizing the partial current density of the products to the electrochemical surface area (ECSA), which was determined by the double layer capacitance (Fig. S7a-c). From Fig. S7d-e, one can see that SnO<sub>2</sub>/ CF exhibits only a low partial current density for H<sub>2</sub> and CO, indicating that the hydrogen evolution and CO production were effectively suppressed. The partial current density for formate reaches 188.6  $\mu$ A cm<sup>-2</sup>, about 3.7 times that of Sn/CF at –1.2 V, and is comparable to leading catalysts reported in the literature.[26,36] The kinetics of CO<sub>2</sub>R on SnO<sub>2</sub>/CF catalysts were then evaluated by Tafel analysis. As demonstrated in Fig. S7f, SnO<sub>2</sub>/CF and Sn/CF catalysts exhibit a Tafel slop of 206.6 mV·dec<sup>-1</sup> and 250.0 mV·dec<sup>-1</sup>, which indicates much faster reaction kinetics of CO<sub>2</sub>R on the former catalyst than the latter. [37,38] SnO<sub>2</sub>/CF also exhibited excellent stability (Fig. 3d), with only a negligible current decay and a steady FE over 85% in the continuous electrolysis for 9 h.

Due to the limited solubility of  $CO_2$  in aqueous solutions, it is difficult to achieve high current density for  $CO_2R$  and only neutral electrolyte can be used in an H-type cell. However, it has been reported that hydrogen evolution reaction, the competing reaction to  $CO_2R$ , can be suppressed and the activation energy battier for  $CO_2R$  can be reduced in alkaline electrolyte. Thus, the catalytic activity of  $SnO_2/CF$  catalyst was also examined on a gas diffusion electrode (GED) in a flow cell, with 1 M KOH electrolyte. Fig. 3c displays the partial current densities and FEs of formate at various cathodic potentials, which can reach 174.86 mA cm<sup>-2</sup> at -1.18 V, 4 times that in an H-type cell (40.76 mA cm<sup>-2</sup>). Meanwhile, a high FE of more than 93% was obtained for formate at the current density of 118 mA cm<sup>-2</sup> at -0.98 V. Again, the remarkable CO<sub>2</sub>R activity of SnO<sub>2</sub>/CF might be ascribed to the in-situ formation of SnO<sub>2</sub>/S n heterostructures. To demonstrate this point, the catalytic performance of SnO<sub>2</sub>/CF was also examined by applying potential pulses, where relatively low partial current density for formate was observed (Fig. S9), as the oxide state of SnO<sub>2</sub> was retained during CO<sub>2</sub>R.

To further understand the superior performance and unraveling the reaction mechanism of  $CO_2R$  on  $SnO_2/CF$ , density functional theory (DFT) calculations were carried out. Note that  $SnO_2$  was partially reduced to Sn and exhibits a  $SnO_2/Sn$  heterostructure under the  $CO_2R$  conditions, as manifested in XPS, in situ Raman and HRTEM measurements.[39] The Mott-Schottky heterostructure of  $SnO_2/Sn$  was modeled by using a Sn substrate with a  $SnO_2$  layer and the adsorption energetics of the  $CO_2R$  intermediates were evaluated and compared with that on pure Sn and  $SnO_2$  (Fig. S10). Note that both HCOO\* and COOH\* are key intermediate for formate, respectively.[17,33,40] The Gibbs free energy of  $CO_2R$  intermediates to CO and formate on the three models are shown in Fig. 4a–c and S11-13. The free energy barrier ( $\Delta G$ ) of COOH\* to HCOOH is observed on  $SnO_2/Sn$  (0.65 eV),  $SnO_2(0.87 \text{ eV})$  and Sn (1.22 eV), respectively, indicating that the path for formate production is favored energetically on heterostructured SnO<sub>2</sub>/Sn than on pure SnO<sub>2</sub> and Sn. As hydrogen evolution reaction is competitive to CO<sub>2</sub>R and protons for formate formation comes from water dissociation, [41,42] the adsorption energy of protons also plays a critical role in the dynamics of CO<sub>2</sub>R to formate. As shown in Fig. 4d, the Gibbs free energy of hydrogen ( $\Delta G_H$ ) on SnO<sub>2</sub>, Sn and SnO<sub>2</sub>/ Sn were calculated to be -0.20, 0.39 and -0.62 eV, respectively. The Gibbs free energy of H on Sn is positive, indicating that H adsorption is not favored and both HER and formate production are relatively not favored, since CO<sub>2</sub>R to formate need adsorbed H too. While the Gibbs free energy of H on  $SnO_2$  and  $SnO_2/Sn$  are both negative and the it is more positive on SnO<sub>2</sub> than SnO<sub>2</sub>/Sn heterostructure, it suggests that HER process is more prominent on Sn than SnO<sub>2</sub>/Sn structure and the formate production is more favored on SnO<sub>2</sub>/Sn structure than Sn. Experimentally, it observed that formate production is more active on  $SnO_2/Sn$  than  $SnO_2$ , thus. indicating that the enhanced adsorption of H on SnO<sub>2</sub>/Sn is not strong enough to compete with CO<sub>2</sub> adsorption and reduction.[36,43-45] DFT calculation also indicated that SnO<sub>2</sub> in SnO<sub>2</sub>/ Sn heterostructure than is apparently strained as compared to the pure SnO<sub>2</sub>, which might be responsible for the favored energetics of formate production of the heterostructure.

# 4. Conclusion

In summary, tin oxide nanoparticles were grown onto carbon cloth by a facile hydrothermal method. During the CO<sub>2</sub>R electrocatalysis in KHCO<sub>3</sub>, SnO<sub>2</sub>/Sn Mott-Schottky heterojunctions were formed, as evidenced in HRTEM, XPS and in situ Raman spectroscopy measurements, leading to a high Faraday efficiency of 93.6% at -1.0 V, along with a partial current density of 28.7 mA cm<sup>-2</sup> that maintained good stability for 9 h at -1.1 V in an H-type cell and 118 mA cm<sup>-2</sup> in 1 M KOH electrolyte in a flow cell. DFT calculations indicate that the formate production is more energetically favored on SnO<sub>2</sub>/Sn heterostructures and hydrogen evolution was suppressed, possible due to the strain on SnO<sub>2</sub> oxide induced by the heterostructure. Results from this work highlight the dynamic nature of SnO<sub>2</sub> catalysts during CO<sub>2</sub>R and shed light on the mechanistic origin of the enhanced electrocatalytic performance. Such fundamental insights may be exploited for the design and engineering of high-performance CO<sub>2</sub>RR catalysts.

Notes

The authors declare no competing financial interest.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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# Appendix A. Supplementary material

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S. Ning, J. Wang, D. Xiang et al.

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