

# Nitroxide-mediated polymerization to form symmetrical ABA triblock copolymers from a bidirectional alkoxyamine initiator

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## Abstract

Bidirectional alkoxyamine **2** was synthesized and used as the initiator in the polymerization of styrene (S), *n*-butyl acrylate (*n*BA), *t*-butyl acrylate (*t*BA), isoprene (I), and dimethylacrylamide (DMA). A variety of symmetrical ABA triblock copolymers were prepared, ranging in size from 5 to 59 kDa. Kinetics studies and gel permeation chromatography (GPC) confirmed the “living” nature of these polymerizations. Trifluoroacetic acid was used to convert the *Pt*BA blocks of these polymers into poly(acrylic acid) (PAA) blocks, forming ABA amphiphilic triblock copolymers. AFM images of PAA-*b*-*Pn*BA-*b*-PAA and PAA-*b*-PS-*b*-PAA triblock copolymers ionized by the addition of 2,2'-(ethylenedioxy)-bis(ethylamine) show evidence of self-assembly.

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## 1. Introduction

Nitroxide-mediated living free radical polymerization (NMRP) can be used to form polymers with well-controlled chain lengths and low polydispersities [1]. Typically an alkoxyamine is used as a unimolecular initiator in the polymerization process (Fig. 1). At elevated temperatures, homolysis of the C–O bond forms a stable nitroxide radical and an active alkyl radical. Monomer units add to this alkyl radical to form a growing polymer chain. Throughout the polymerization, the persistent nitroxide radical reversibly caps and uncaps the active radical chain terminus, converting it to either the dormant or active state. Because most polymer chains exist in the dormant state at any given time, termination events are rare; hence, polymers with low polydispersities are formed.

Early work in this field employed TEMPO (Fig. 2) as the mediating nitroxide [2–4]; this effected the controlled

polymerization of styrenes. Well-controlled polymerization of other monomers was not possible with TEMPO, but second-generation nitroxides such as TIPNO [5,6] and DEPNO [7,8] have been used to polymerize acrylates, acrylamides, acrylonitrile, and 1,3-dienes.

Unlike conventional free radical polymerization, NMRP is a “living” process. Following polymerization, the resultant polymers can be isolated. At a later time, polymerization can be reinitiated by heating in the presence of monomer. This technique lends itself well for the synthesis of block copolymers. Various polymer morphologies, such as AB diblock, ABA triblock, and ABC triblock copolymers have been made using NMRP. ABA triblock copolymers have been used in a variety of biomedical, nanotechnical, and material applications [9–11]. The unique structure of amphiphilic ABA triblock copolymers has been utilized to form self-assembled structures such as micelles [12,13], vesicles [14,15], and membranes [16,17].

Although it is possible to grow ABA triblock copolymers from monodirectional initiator **1** (Fig. 3), use of a bidirectional initiator has several advantages. In this paper, bidirectional

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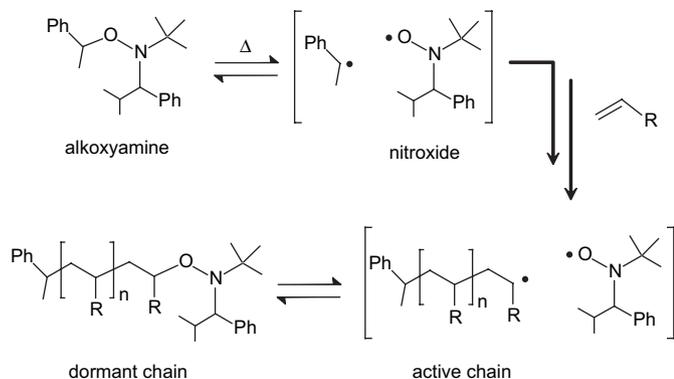


Fig. 1. General mechanism for nitroxide-mediated radical polymerization.

initiator **2** is introduced, in which the polymer is grown from the center outward. This allows three blocks to be grown in only two steps. The simultaneous growth of the outer A blocks ensures that they are identical in length, forming symmetrical ABA triblocks. A variety of multidirectional initiators based on TEMPO [18–25], TIPNO [26–28], and DEPN [29] have been synthesized, but their use in forming ABA triblock copolymers has been limited. Herein is reported the synthesis of bidirectional alkoxyamine initiator **2** based on TIPNO as the mediating nitroxide. The preparation of a wide range of ABA triblock copolymers from this initiator is demonstrated; subsequent conversion of selected ABA triblocks into amphiphilic copolymers is reported.

## 2. Experimental section

### 2.1. Materials

Styrene (99%, Acros Organics), *t*-butyl acrylate (98%, Aldrich), *n*-butyl acrylate (99%, Acros Organics), and *N,N*-dimethylacrylamide (99%, Aldrich) were vacuum distilled prior to use. Isoprene (99+, Acros Organics) was distilled at atmospheric pressure. Dimethylsulfoxide (DMSO) was distilled from calcium hydride and stored over molecular sieves. Water was deionized. All other reagents were used without further purification. Both 1,4-diacetylbenzene (99%) and *p*-toluenesulfonic acid monohydrate (99%) were purchased from Aldrich. Jacobsen's catalyst (98%), sodium borohydride (98+%), and *p*-anisaldehyde (99+) were purchased from Acros Organics. Trifluoroacetic acid and hydroquinone were purchased from Fisher Scientific. 2,2'-(Ethylenedioxy)bis(ethylamine) was purchased from Alfa Aesar (97+%). Flash

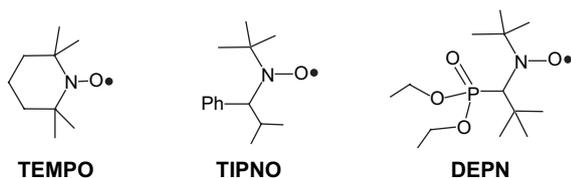


Fig. 2. Common nitroxides used in NMRP.

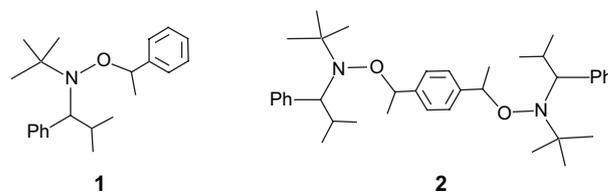


Fig. 3. Mono- and bidirectional TIPNO-based initiators for nitroxide-mediated polymerization.

chromatography was performed using EM Science Silica Gel 60. Analytical TLC was performed using commercial Whatman plates coated with silica gel (0.25 mm thick). PAA stain was made from a mixture of ethanol (37.5 mL), concentrated sulfuric acid (2.08 mL), glacial acetic acid (0.427 mL), and *p*-anisaldehyde (2.5 mL). Initiator **1** and TIPNO were prepared according to the published procedures [5].

### 2.2. Measurements

NMR spectra were collected on a Varian 500 MHz spectrometer in  $\text{CDCl}_3$  at ambient temperature. HRMS were obtained on an electrospray ionization time of flight (ESITOF) mass spectrometer (Mariner Biospectrometry workstation from Applied Biosciences). FTIR spectra were recorded in chloroform on a Perkin–Elmer 1600 FTIR spectrometer. AFM images were collected using a PicoSPM LE instrument (Molecular Imaging Inc.) in the tapping mode. The resulting images were flattened and plane-fitted using Molecular Imaging software. Samples were prepared by drop-casting dilute polymer solutions onto a freshly cleaved mica substrate and drying before imaging. Polymer molecular weights and polydispersities were measured by gel permeation chromatography (GPC) using a Waters apparatus equipped with five Styragel columns ( $300 \times 4.6$  mm,  $5 \mu\text{m}$  bead size), HR 0.5 (pore size  $50 \text{ \AA}$ , 0–1000 Da), HR 1 (pore size  $100 \text{ \AA}$ , 100–5000 Da), HR 2 (pore size  $500 \text{ \AA}$ , 500–20 000 Da), HR 4 (pore size  $10\,000 \text{ \AA}$ , 50–100 000 Da), HR 5E (linear bed, mixed pore sizes,  $2000\text{--}4 \times 10^6$  Da). Tetrahydrofuran was used as the eluent at a flow rate of 0.35 mL/min at ambient temperature. A refractive index detector was used, and the molecular weights were calibrated against seven polystyrene standards ranging from 2000 to 156 000 Da. Percent conversions of polymerizations were calculated in two ways. From  $^1\text{H}$  NMR integrations of aliquots of crude polymer mixtures, the ratio of polymerized to remaining monomer was determined. This was used to calculate a percent conversion and a theoretical molecular weight,  $M_{n,\text{NMR}}$ . The mass of precipitated polymers was compared to the mass of the crude polymer mixture in order to calculate a gravimetric percent conversion and a theoretical molecular weight,  $M_{n,\text{grav}}$ .

### 2.3. Synthesis of bidirectional initiator

#### 2.3.1. 1,4-Bis(1-hydroxyethyl)benzene (**3**)

Following the procedure of Smejkal et al. [30], 1,4-diacetylbenzene (5.041 g, 31.08 mmol) was dissolved in 240 mL

of isopropyl alcohol at rt. After 20 min, most of the solute was dissolved, and sodium borohydride (3.314 g, 87.60 mmol) was added. The mixture was stirred for 21 h, then quenched with 50 mL of 5% aqueous NaOH. The reaction mixture was concentrated and dissolved in 50 mL of dichloromethane and 50 mL of deionized water. The organic layer was separated and the aqueous layer was extracted with dichloromethane (2 × 50 mL). The organic layers were combined, washed with 50 mL of brine, and dried over potassium carbonate. The solution was filtered and concentrated to give the crude product as a yellowish-white powder. Purification by flash chromatography using 70:30 dichloromethane/acetone as the eluent yielded the pure product (4.572 g, 88% yield) as an off-white powder. This was a mixture of two diastereomers, even though the diastereomers are not discernible by  $^1\text{H}$  NMR [31]. TLC 80:20 ethyl acetate/hexanes, UV and PAA stain,  $R_f=0.62$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  7.36 (s, 4H, Ar  $H$ ), 4.89 (q, 2H,  $J=6$  Hz, benzylic  $H$ ), 1.88 (br s, 2H,  $-\text{OH}$ ), 1.49 (d, 6H,  $J=6$  Hz,  $-\text{CH}_3$ ).

### 2.3.2. 1,4-Divinylbenzene (4)

Following the procedure of Smejkal et al. [30], a two-necked round bottom flask fitted with a condenser was flushed with argon. Through a septum was added bisalcohol **3** (3.053 g, 18.36 mmol), *p*-toluenesulfonic acid (0.229 g, 1.20 mmol), hydroquinone (0.697 g, 6.33 mmol), and 30 mL of DMSO. The reaction mixture was stirred and heated at 120 °C for 2 h. After cooling to rt, 50 mL of 2% hydroquinone (w/w in water) was added. This mixture was extracted with pentane (4 × 20 mL), washed with brine, dried over magnesium sulfate, filtered, and concentrated to afford a yellow oil. The crude product was run through a short silica column using pentane. The eluent was concentrated to give a pure, colorless oil (1.130 g, 47% yield). This compound was not very stable; thus it was converted immediately into alkoxyamine **2**.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  7.38 (s, 4H, Ar  $H$ ), 6.71 (dd, 2H,  $J=11$  Hz and 18 Hz, benzylic  $H$ ), 5.76 (dd, 2H,  $J=18$  Hz and 0.8 Hz, vinylic  $H$ ), 5.25 (dd, 2H,  $J=11$  Hz and 0.8 Hz, vinylic  $H$ ).

### 2.3.3. 1,4-Bis[1-2,2,5-trimethyl-4-phenyl-3-azahexane-3-nitroxide]ethyl]benzene (2)

Following a modified procedure of Hawker et al. [5], to a round bottom flask were added 1,4-divinylbenzene **4** (1.130 g, 8.680 mmol), TIPNO (4.821 g, 21.91 mmol), 65 mL of toluene, and 80 mL of ethanol. Jacobsen's catalyst (1.254 g, 1.974 mmol) was then added, followed by sodium borohydride (0.670 g, 17.7 mmol). The reaction mixture was stirred while open to air and monitored by TLC (2.5% ethyl acetate/hexanes). After 48 h, the reaction mixture was concentrated, and then partitioned between 100 mL of dichloromethane and 100 mL of water. The organic layer was separated, and the aqueous layer was extracted with dichloromethane (2 × 50 mL). The organic layers were combined and run through a silica plug using dichloromethane in order to remove some of the brown manganese catalyst. The crude product was concentrated to give a brown oil, and was purified by

flash chromatography using 80:20 hexanes/dichloromethane as the eluent. The pure product was an off-white sticky wax, isolated as a mixture of multiple diastereomers (3.111 g, 63% yield). TLC 40:60 dichloromethane/hexanes, UV and PAA stain,  $R_f=0.78$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  7.5–7.1 (m, 14H, Ar  $H$ ), 5.0–4.8 (mixture of q, 2H,  $J=7$  Hz), 3.42–3.23 (several d, 2H,  $J=11$  Hz,  $-\text{ONCH}-$ ), 2.35 and 1.25 (several m, 2H,  $-\text{CH}(\text{CH}_3)_2$ ), 1.65–1.5 (several d, 6H,  $J=7$  Hz, benzylic  $\text{CH}_3$ ), 1.3–0.12 (several d, 12H,  $J=7$  Hz, isopropyl  $-\text{CH}_3$ ), 1.1–0.7 (several s, 18H, *t*-butyl  $\text{CH}_3$ );  $^{13}\text{C}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  145.1, 145.1, 144.1, 144.1, 143.5, 142.7, 142.6, 142.4, 142.4, 131.1, 128.9, 127.5, 127.37, 127.3, 127.2, 127.1, 127.1, 127.0, 126.7, 126.4, 126.3, 126.2, 126.0, 125.9, 125.9, 83.7, 83.7, 83.4, 83.2, 82.5, 72.4, 72.3, 72.1, 60.7, 60.5, 60.4, 60.3, 32.1, 31.9, 31.5, 28.6, 28.5, 28.4, 27.4, 25.1, 25.0, 24.4, 23.5, 23.2, 23.1, 22.3, 22.2, 22.1, 21.3, 21.0; Elemental analysis calcd for  $\text{C}_{38}\text{H}_{56}\text{N}_2\text{O}_2$  C = 79.67, H = 9.85, N = 4.89, found C = 79.80, H = 9.68, N = 4.89.

## 2.4. Polymerizations

### 2.4.1. General procedure for the polymerization of styrene to form PS (PS-2)

Bidirectional initiator **2** (58.9 mg, 0.101 mmol) was dissolved in styrene (1.0597 g, 10.175 mmol) in a 5 mL glass ampoule. A stir bar was added and the ampoule was sealed under argon after three cycles of freeze-pump-thaw. It was suspended in a 125 °C oil bath for 2 h. A  $^1\text{H}$  NMR spectrum of an aliquot of the crude mixture was taken to determine percent conversion. The polymer was precipitated three times by dissolving it in 2 mL of dichloromethane, adding 50 mL of cold methanol, and filtering. After drying in vacuo, the purified poly(styrene) was isolated as a white powder (0.735 g, 64% conversion,  $M_{n,\text{grav}}=7300$ ).  $^1\text{H}$  NMR: 70% conversion,  $M_{n,\text{NMR}}=7900$ ; GPC:  $M_{n,\text{GPC}}=7300$ ; PDI = 1.11;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  7.3–6.3 (two br s, 5H, Ar  $H$ ), 2.4–1.2 (several br, 3H,  $-\text{CH}_2-$  and  $-\text{CH}-$  in polymer backbone).

### 2.4.2. General procedure for the polymerization of *t*-butyl acrylate to form PtBA (PtBA-1)

TIPNO (6.48 mg, 0.0294 mmol) and bidirectional initiator **2** (169.9 mg, 0.2966 mmol) were dissolved in *t*-butyl acrylate (1.9028 g, 14.846 mmol) in a 5 mL glass ampoule. A stir bar was added and the ampoule was sealed under argon after three cycles of freeze-pump-thaw. It was suspended in a 122 °C oil bath for 13.3 h. A  $^1\text{H}$  NMR spectrum of an aliquot of the crude mixture was taken to determine percent conversion. The polymer was precipitated three times by dissolving it in 2 mL of tetrahydrofuran, adding a cold mixture of 50 mL of methanol/50 mL of water, and decanting the supernatant. After drying in vacuo, the purified poly(*t*-butyl acrylate) was isolated as a white powder (1.123 g, 50% conversion,  $M_{n,\text{grav}}=3800$ ).  $^1\text{H}$  NMR: 60% conversion,  $M_{n,\text{NMR}}=4400$ ; GPC:  $M_{n,\text{GPC}}=3700$ ; PDI = 1.17;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  2.35–2.1

(br, 1H,  $-CH-$  in polymer backbone), 1.9–1.4 (two br, 2H,  $-CH_2-$  in polymer backbone), 1.42 (br s, 9H,  $-C(CH_3)_3$ ).

#### 2.4.3. General procedure for the polymerization of *n*-butyl acrylate to form PnBA (**PnBA-2**)

TIPNO (5.6 mg, 0.025 mmol) and bidirectional initiator **2** (0.2946 g, 0.4619 mmol) were dissolved in *n*-butyl acrylate (2.5081 g, 19.569 mmol) in a 10 mL glass ampoule. A stir bar was added and the ampoule was sealed under argon after three cycles of freeze-pump-thaw. It was suspended in a 125 °C oil bath for 13 h. A  $^1H$  NMR spectrum of an aliquot of the crude mixture was taken to determine percent conversion. The polymer was difficult to precipitate because it is a liquid at room temperature; thus, the unreacted monomer was removed in vacuo while gently warming and rotating the sample in a Kugelrohr apparatus. The poly(*n*-butyl acrylate) thus obtained was a viscous yellow liquid (2.396 g, 84% conversion,  $M_{n,grav} = 5100$ ).  $^1H$  NMR: 84% conversion,  $M_{n,NMR} = 5100$ ; GPC:  $M_{n,GPC} = 5000$ ; PDI = 1.15;  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$  4.2–3.8 (br, 2H,  $-OCH_2CH_2CH_2CH_3$ ), 2.4–2.1 (br, 1H,  $-CH-$  in polymer backbone), 2.0–1.3 (two br, 2H,  $-CH_2-$  in polymer backbone), 1.8–1.5 (br, 2H,  $-OCH_2CH_2CH_2CH_3$ ), 1.5–1.2 (br, 2H,  $-OCH_2CH_2CH_2CH_3$ ), 1.0–0.8 (br, 3H,  $-OCH_2CH_2CH_2CH_3$ ).

#### 2.4.4. General procedure for the polymerization of isoprene to form PI (**PI-1**)

*Note: Polymerization of isoprene using standard glass ampoules is dangerous; a vessel made to withstand high pressures must be used to avoid explosion!* Bidirectional initiator **2** (0.2256 g, 0.3938 mmol) and isoprene (1.3505 g, 19.828 mmol) were mixed in a two-necked flask. After three cycles of freeze-pump-thaw, the mixture was transferred via syringe under argon into a 15 mL pressure vessel equipped with a stir bar. The pressure vessel was sealed and suspended in a 124 °C oil bath for 38 h. A  $^1H$  NMR spectrum of an aliquot of the crude mixture was taken to determine percent conversion. The polymer was difficult to precipitate; thus, the unreacted isoprene was removed in vacuo while gently warming and rotating the sample on a Kugelrohr apparatus. The resulting poly(isoprene) was isolated as a viscous liquid (0.593 g, 27% conversion,  $M_{n,grav} = 1500$ ).  $^1H$  NMR: 53% conversion,  $M_{n,NMR} = 2400$ ; GPC:  $M_{n,GPC} = 1500$ ; PDI = 1.10;  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$  5.2–5.0 (br, 1H, vinylic *H*), 2.2–1.8 (br, 4H,  $-CH_2-$  from polymer backbone), 1.8–1.5 (br, 3H,  $-CH_3$ ).

#### 2.4.5. General procedure for the polymerization of *N,N*-dimethylacrylamide to form PDMA-*b*-PS-*b*-PDMA

TIPNO (1.3 g, 0.0060 mmol), poly(isoprene) macroinitiator **PI-1** ( $M_{n,GPC} = 1500$ , 0.2003 g, 0.13 mmol) and *N,N*-dimethylacrylamide (0.9344 g, 9.426 mmol) were added to a 5 mL glass ampoule along with a stir bar. The ampoule was sealed under argon after three cycles of freeze-pump-thaw and suspended in a 123 °C oil bath for 12 h. A  $^1H$  NMR spectrum of an aliquot of the crude mixture was taken to determine

percent conversion. The polymer was precipitated three times by dissolving it in 2 mL of dichloromethane, adding 50 mL of cold methanol, and decanting the supernatant. After drying in vacuo, the purified poly(dimethylacrylamide)-*b*-poly(isoprene)-*b*-poly(dimethylacrylamide) was isolated as a white solid (0.627 g, 46% conversion,  $M_{n,grav} = 4800$ ).  $^1H$  NMR: 57% conversion,  $M_{n,NMR} = 6600$ ; GPC:  $M_{n,GPC} = 7000$ ; PDI = 1.23;  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$  5.2–5.0 (br, vinylic *H* in PI), 3.2–2.8 (br,  $-CH_3$  from PDMA), 2.8–1.0 (br,  $-CH-$  and  $-CH_2-$  from polymer backbone and  $-CH_3$  from PI).

#### 2.4.6. General procedure for the hydrolysis of PtBA blocks with trifluoroacetic acid to form PAA blocks

Following the procedure of O'Reilly et al. [32], PtBA<sub>14</sub>-*b*-PnBA<sub>36</sub>-*b*-PtBA<sub>14</sub> triblock copolymer (0.920 g, 0.11 mmol, 3.0 mmol of *t*-butyl ester) was dissolved in 6.5 mL of dichloromethane. Trifluoroacetic acid (2.95 mL, 39.7 mmol) was added, and the resulting mixture was allowed to stir under argon for 2 h. The reaction mixture was then concentrated and dried in vacuo to give a sticky white solid that did not dissolve in chloroform, but did dissolve in a mixture of methanol and chloroform (0.799 g, 106%).  $^1H$  NMR (500 MHz, MeOH-*d*<sub>4</sub>/ $CDCl_3$ )  $\delta$  4.2–3.8 (br,  $-OCH_2CH_2CH_2CH_3$ ), 2.7–2.1 (br,  $-CH-$  in polymer backbone), 2.0–1.3 (br,  $-CH_2-$  in polymer backbone), 1.8–1.5 (br,  $-OCH_2CH_2CH_2CH_3$ ), 1.5–1.2 (br,  $-OCH_2CH_2CH_2CH_3$ ), 1.0–0.8 (br,  $-OCH_2CH_2CH_2CH_3$ ).

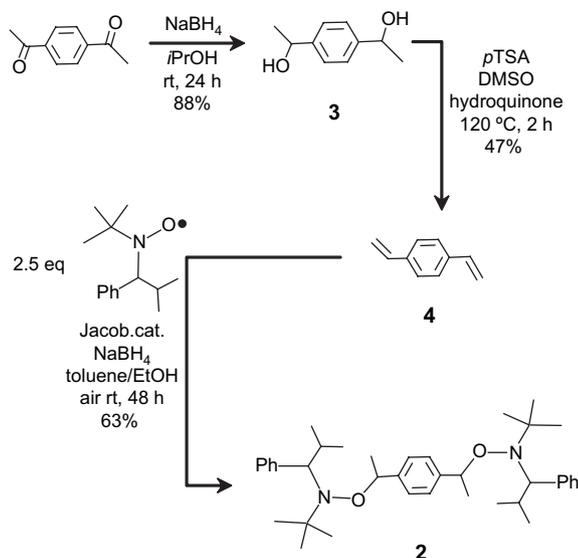
#### 2.4.7. General procedure for self-assembly of ABA triblock copolymers

Following the procedure of Wooley et al. [33], 0.020 g of polymer was dissolved in 2 mL of tetrahydrofuran. 2,2'-(Ethylenedioxy)bis(ethylamine) was added so as to maintain a 2:1 ratio of amine/carboxylic acid functionality. This mixture was sonicated for several minutes in order to dissolve the polymer, and a mixture of 8 mL of tetrahydrofuran and 10 mL of nanopure water was added. The solution was stored at room temperature in a vial wrapped in parafilm for two weeks prior to imaging.

## 3. Results and discussion

### 3.1. Synthesis of the bidirectional initiator

Bidirectional initiator **2** was synthesized as shown in Scheme 1. Commercially available 1,4-divinylbenzene was not used as it consists of a mixture of regioisomers as well as a large amount of ethylvinyl benzene. Instead, clean 1,4-divinylbenzene was synthesized according to the published procedure [30]. Briefly, 1,4-diacetylbenzene was reduced with sodium borohydride to yield 1,4-bis(1-hydroxyethyl)benzene **3**, which was treated with *p*-toluenesulfonic acid in DMSO to yield 1,4-divinylbenzene **4**. When reacted with excess TIPNO, Jacobsen's Mn(salen) catalyst, and sodium borohydride, bidirectional alkoxyamine **2** was obtained in 63% yield.



Scheme 1. Synthesis of bidirectional alkoxyamine initiator.

### 3.2. Proof of ‘‘livingness’’

Initiator **2** was used in the polymerization of neat styrene, *t*-butyl acrylate, *n*-butyl acrylate, and isoprene (Table 1). In all cases, the polymerizations were well controlled, resulting in polydispersities of less than 1.3. The theoretical molecular

Table 1  
Homopolymers made from bidirectional initiator **2** at 120–125 °C

Polymer	$M_{n,grav}^a$	$M_{n,NMR}^b$	$M_{n,GPC}^c$	PDI <sup>d</sup>	DP <sup>e</sup>
PS-1	2800	2800	2200	1.27	19
PS-2	7300	7900	7300	1.11	67
PnBA-1	4400	4400	4900	1.16	31
PnBA-2	5100	5100	5000	1.15	35
PnBA-3	8400	5900	7200	1.12	51
PnBA-4	10200	8500	10400	1.12	71
PnBA-5	Not measured	11600	13700	1.15	102
PtBA-1	3800	4400	3700	1.17	26
PtBA-2	14000	15100	17500	1.15	117
PtBA-3	16600	19700	19600	1.18	141
PtBA-4	25700	31100	26800	1.19	213
PtBA-5	37700	35200	41900	1.20	294
PtBA-6	42400	51900	44800	1.20	357
PtBA-7	46700	60900	47300	1.21	398
PtBA-8	55200	57000	56600	1.20	435
PtBA-9	66200	68100	58200	1.23	496
PtBA-10	68000	66800	63800	1.23	512
PtBA-11	85900	83900	72200	1.26	625
PI-1	1500	2400	1500	1.10	18
PI-2	Not measured	16400	10100	1.18	186

PS = poly(styrene), PtBA = poly(*t*-butyl acrylate), PnBA = poly(*n*-butyl acrylate), PI = poly(isoprene).

<sup>a</sup> Theoretical molecular weight determined gravimetrically.

<sup>b</sup> Theoretical molecular weight determined from <sup>1</sup>H NMR integration.

<sup>c</sup> Number average molecular weight determined from gel permeation chromatography.

<sup>d</sup> Polydispersity determined from gel permeation chromatography.

<sup>e</sup> Degree of polymerization, based on the average of  $M_{n,grav}$ ,  $M_{n,NMR}$ , and  $M_{n,GPC}$ .

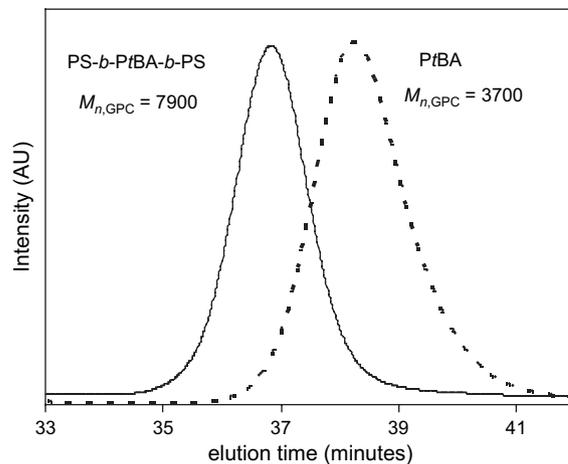


Fig. 4. Comparison of GPC traces of the starting PtBA homopolymer (---) and the PS-*b*-PtBA-*b*-PS triblock copolymer (—). The homopolymer was prepared by heating 1 eq of initiator **2**, 50 eq of *t*BA, and 0.1 eq of TIPNO at 120 °C for 13 h. The triblock copolymer was prepared by heating 1 eq of the homopolymer and 100 eq of styrene at 120 °C for 2 h.

weights, which were calculated both gravimetrically ( $M_{n,grav}$ ) and from <sup>1</sup>H NMR integration ( $M_{n,NMR}$ ), are in close agreement with the molecular weights determined by GPC ( $M_{n,GPC}$ ). In some cases where poly(*t*-butyl acrylate) was purified by precipitation,  $M_{n,grav}$  is slightly lower than  $M_{n,NMR}$  and  $M_{n,GPC}$ . This difference can be attributed to the loss of material during precipitation. For poly(*n*-butyl acrylate), unreacted monomer was removed in vacuo, and  $M_{n,grav}$  values are in closer agreement with  $M_{n,NMR}$  and  $M_{n,GPC}$  values. In the case of poly(isoprene), the large difference between  $M_{n,NMR}$  and  $M_{n,GPC}$  is most likely due to the loss of volatile isoprene when preparing the crude <sup>1</sup>H NMR sample.

The living nature of these polymers was further verified by growing external second blocks. As an example, macroinitiator PtBA-1 was used to polymerize styrene, forming PS-*b*-PtBA-*b*-PS. The GPC traces of the macroinitiator ( $M_{n,GPC} = 3700$ ; PDI = 1.17) and block copolymer ( $M_{n,GPC} = 7900$ ; PDI = 1.13) show monomodal peaks and an increase in molecular weight (Fig. 4). This chain extension experiment verifies the fidelity of the nitroxide caps on both ends of the polymer chain. Table 2 shows a variety of triblock copolymers prepared using bidirectional initiator **2**.

Time studies were carried out for the polymerization of both homopolymers and ABA triblock copolymers. The initiator (or macroinitiator) was dissolved in monomer and divided amongst several ampoules. The ampoules were suspended in a 125 °C oil bath, and removed at scheduled intervals. Percent conversions were calculated from the precipitated masses of the polymers and molecular weights were determined by GPC. Fig. 5 shows the results of two such studies. The linear relationship between molecular weight and percent conversion confirms that there are no significant chain transfer events. The kinetic plots of monomer consumption are also linear, verifying that chain termination events are rare.

Table 2  
Molecular weights and polydispersities of ABA triblock copolymers obtained after chain extension of the corresponding bidirectional homopolymers

Homopolymer	$M_{n, GPC}$	PDI	Triblock copolymer	$M_{n, GPC}$	PDI
<b>PnBA-6</b>	2300	1.44	PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	5900	1.51
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	6800	1.30
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	7100	1.38
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	7700	1.34
<b>PnBA-2</b>	5000	1.15	PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	6200	1.15
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	6800	1.16
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	8100	1.15
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	8600	1.19
<b>PnBA-5</b>	13 700	1.15	PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	15 000	1.15
			PtBA- <i>b</i> -PnBA- <i>b</i> -PtBA	16 200	1.16
<b>PS-1</b>	2200	1.27	PtBA- <i>b</i> -PS- <i>b</i> -PtBA	4500	1.17
			PtBA- <i>b</i> -PS- <i>b</i> -PtBA	5100	1.16
			PtBA- <i>b</i> -PS- <i>b</i> -PtBA	6700	1.14
			PtBA- <i>b</i> -PS- <i>b</i> -PtBA	7300	1.13
<b>PS-2</b>	7300	1.11	PtBA- <i>b</i> -PS- <i>b</i> -PtBA	13 200	1.15
			PtBA- <i>b</i> -PS- <i>b</i> -PtBA	16 900	1.16
			PtBA- <i>b</i> -PS- <i>b</i> -PtBA	20 800	1.17
<b>PtBA-1</b>	3700	1.17	PS- <i>b</i> -PtBA- <i>b</i> -PS	7900	1.13
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	10 400	1.14
			PI- <i>b</i> -PtBA- <i>b</i> -PI	6600	1.18
<b>PtBA-2</b>	17 500	1.15	PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	20 700	1.15
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	21 200	1.16
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	23 000	1.18
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	26 200	1.19
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	28 500	1.21
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	31 200	1.24
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	36 300	1.27
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	40 400	1.28
PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	43 500	1.33			
<b>PtBA-3</b>	19 600	1.18	PS- <i>b</i> -PtBA- <i>b</i> -PS	59 100	1.24
<b>PtBA-4</b>	26 800	1.19	PS- <i>b</i> -PtBA- <i>b</i> -PS	42 400	1.27
			PnBA- <i>b</i> -PtBA- <i>b</i> -PnBA	45 200	1.27
			PI- <i>b</i> -PtBA- <i>b</i> -PI	29 800	1.15
<b>PI-1</b>	1500	1.10	PDMA- <i>b</i> -PI- <i>b</i> -PDMA	7000	1.23
<b>PI-2</b>	10 100	1.18	PDMA- <i>b</i> -PI- <i>b</i> -PDMA	40 000	1.26

### 3.3. Proof of bidirectionality

In order to prove that alkoxyamine **2** functions as a *bidirectional* initiator, a competition experiment was carried out [22,26,29]. One equivalent of bidirectional initiator **2** was mixed with 2 eq of monodirectional initiator **1**; this mixture was used to polymerize styrene. An ampoule containing only monodirectional initiator **1** and styrene, and a separate ampoule containing only bidirectional initiator **2** and styrene were used as controls. The molar ratio of styrene to alkoxyamine functionality was 200:1 in all three ampoules. The GPC traces of the resulting polymers are shown in Fig. 6. The control experiments using only **1** and only **2** produced polymers with molecular weights of 10 200 and 22 600, respectively. While these GPC peaks were monomodal, the polystyrene that was made from a mixture of **1** and **2** shows

a bimodal distribution. Furthermore, the two peaks in the bimodal distribution occur at the same elution times as each of the control peaks. This indicates that the mixture of initiators led to a mixture of polymers whose molecular weights are in a ratio of 1:2, confirming that initiator **2** does indeed effect polymerization from both ends.

### 3.4. Amphiphilic ABA triblock copolymers

A variety of amphiphilic ABA triblock copolymers were prepared (Table 3). The center block was polymerized directly from bidirectional initiator **2** using a hydrophobic monomer (styrene or *n*-butyl acrylate). The hydrophilic outer blocks were prepared by using this macroinitiator to polymerize *t*-butyl acrylate, followed by selective deprotection of the *t*-butyl esters with trifluoroacetic acid [32,34]. The disappearance of the *t*-butyl peak at 1.45 ppm in the <sup>1</sup>H NMR spectra confirms that the PtBA blocks were converted to poly(acrylic acid) (PAA) blocks.

### 3.5. AFM images

Wooley et al. [33,35–37] have shown that mixing AB and ABC amphiphilic block copolymers containing PAA blocks with diamines results in the formation of diverse self-assembled morphologies. The resulting carboxylate salts made of bridging ammonium ions impart dynamic intermolecular crosslinks. This procedure was applied to the ABA triblock copolymers reported here.

Each polymer was dissolved in a 0.1 wt% solution of 50:50 water/tetrahydrofuran at room temperature. Organic diamine 2,2'-(ethylenedioxy)bis(ethylamine) was added in a 2:1 ratio of amine-to-carboxylic acid functionality. Fig. 7 shows AFM images of several triblock copolymers that exhibited evidence of self-assembly. PAA<sub>8</sub>-*b*-PS<sub>19</sub>-*b*-PAA<sub>8</sub> forms toroid-shaped structures, while both PAA<sub>24</sub>-*b*-PS<sub>67</sub>-*b*-PAA<sub>24</sub> and PAA<sub>17</sub>-*b*-PnBA<sub>19</sub>-*b*-PAA<sub>17</sub> form spherical morphologies.

## 4. Conclusion

Bidirectional alkoxyamine initiator **2** was synthesized. The bidirectionality and living nature of polymerizations using this initiator were confirmed, and controlled polymerizations using a variety of monomers have been demonstrated. Well-defined symmetrical ABA triblock copolymers of styrene, acrylates, isoprene, and dimethylacrylamide have been prepared. Deprotection of the PtBA A blocks afforded a variety of amphiphilic ABA triblock copolymers. AFM images show that they assemble into torodial and spherical morphologies. The properties and self-assembly of these amphiphilic triblock copolymers are currently under investigation in this laboratory.

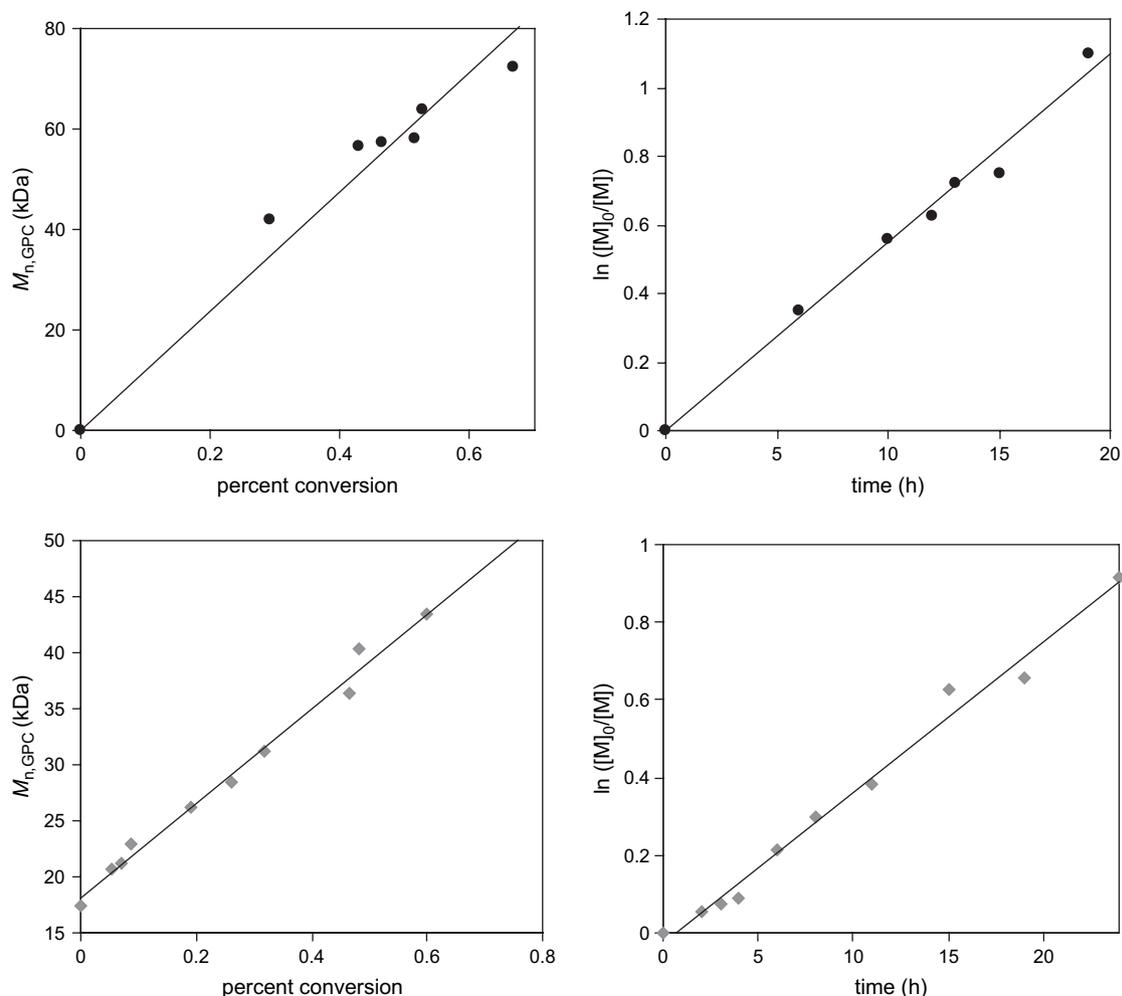


Fig. 5. Time studies of homopolymer *PtBA* (●) and triblock copolymer *PnBA-b-PtBA-b-PnBA* (◆) formation. The *PtBA* homopolymer was grown from bidirectional initiator **2**. The *PnBA* blocks of the triblock copolymer were grown from bidirectional macroinitiator **PtBA-2** ( $M_{n,GPC} = 17\,500$ ; PDI = 1.15).

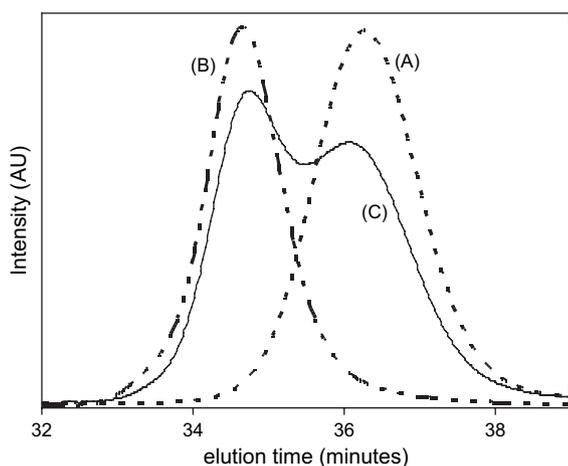


Fig. 6. GPC overlay of poly(styrene) made from (A) monodirectional initiator **1** only (---), (B) bidirectional initiator **2** only (-.-), and (C) a 2:1 mole ratio of mono- and bidirectional initiators **1** and **2** (—). All polymerizations were conducted in bulk at 125 °C for 2 h, and the ratio of styrene to alkoxyamine functionality was 200:1.

Table 3

Amphiphilic ABA triblock copolymers

Polymer	Average number of repeat units in hydrophobic B block <sup>a</sup>	Average number of repeat units in hydrophilic A block <sup>a</sup>	Ratio of repeat units (A:B)
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	36	9	0.25
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	36	13	0.36
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	36	23	0.64
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	36	28	0.78
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	19	27	1.4
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	19	27	1.4
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	19	33	1.7
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	19	35	1.8
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	94	17	0.18
PAA- <i>b</i> - <i>PnBA</i> - <i>b</i> -PAA	94	25	0.27
PAA- <i>b</i> -PS- <i>b</i> -PAA	67	47	0.70
PAA- <i>b</i> -PS- <i>b</i> -PAA	67	79	1.2
PAA- <i>b</i> -PS- <i>b</i> -PAA	67	105	1.6
PAA- <i>b</i> -PS- <i>b</i> -PAA	19	16	0.84
PAA- <i>b</i> -PS- <i>b</i> -PAA	19	19	1.0
PAA- <i>b</i> -PS- <i>b</i> -PAA	19	29	1.5
PAA- <i>b</i> -PS- <i>b</i> -PAA	19	32	1.7

<sup>a</sup> Number of repeat units is based on an average of the gravimetric, <sup>1</sup>H NMR, and GPC molecular weights.

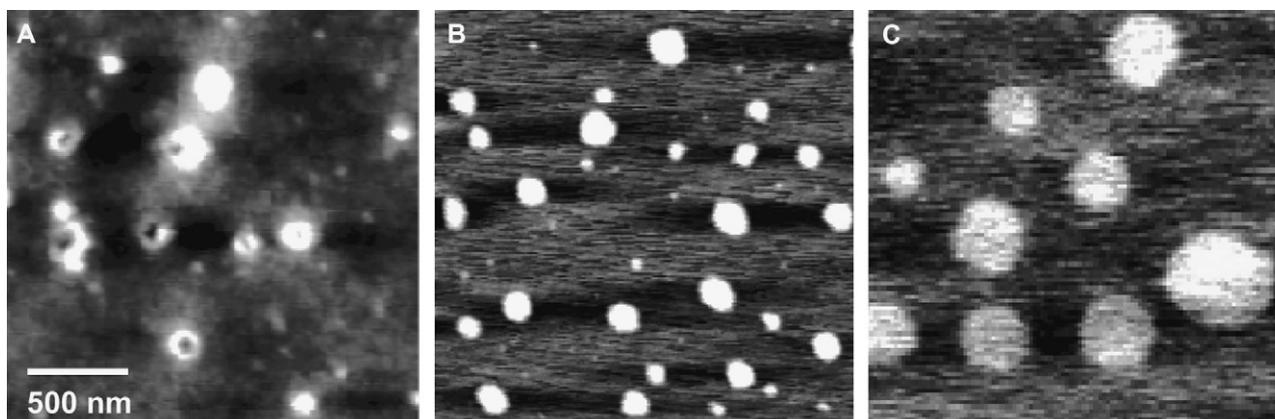


Fig. 7. AFM images of (A) PAA<sub>8</sub>-*b*-PS<sub>19</sub>-*b*-PAA<sub>8</sub>, (B) PAA<sub>24</sub>-*b*-PS<sub>67</sub>-*b*-PAA<sub>24</sub>, and (C) PAA<sub>17</sub>-*b*-PnBA<sub>19</sub>-*b*-PAA<sub>17</sub>. Scan rate: 1.8 lines/s.

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